

**COUNTY OF LOS ANGELES**

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*Director*

ROBIN KAY, Ph.D.  
*Chief Deputy Director*

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**DEPARTMENT OF MENTAL HEALTH**

<http://dmh.lacounty.gov>

550 SOUTH VERMONT AVENUE, LOS ANGELES, CALIFORNIA 90020

Reply To: (213) 738-4601  
Fax: (213) 386-1297

November 9, 2010

TO: Each Supervisor

FROM: Marvin J. Southard, D.S.W.  
Director of Mental Health

SUBJECT: **GRANT MANAGEMENT STATEMENT FOR SUBSTANCE ABUSE AND  
MENTAL HEALTH SERVICES ADMINISTRATION BLOCK GRANT  
AWARD FOR STATE FISCAL YEAR 2010-2011**

This is to advise your Board that the Department of Mental Health (DMH) will exercise its delegated authority to accept Substance Abuse and Mental Health Services Administration (SAMHSA) Federal Block Grant funds, in compliance with your Board action of November 8, 2005 (see enclosed Board letter).

DMH plans to submit the SAMHSA Block Grant award renewal application to the State on Friday, November 19, 2010. Enclosed is the Grant Management Statement for the SAMHSA Block Grant for State Fiscal Year 2010-2011. The proposed allocation amount is \$14,717,166.

SAMHSA Block Grant funding provides mental health services to adults and older adults with a serious mental illness and children who are seriously emotionally disturbed.

MJS:RK:LTI:do

Enclosures

c: Chief Executive Officer  
County Counsel  
Robin Kay, Ph.D.  
Roderick Shaner, M.D.  
Margo Morales  
Carlotta Childs-Seagle  
Tony Beliz, Ph.D.

Bryan Mershon, Ph.D.  
Dennis Murata  
Sandra Thomas  
Cathy Warner  
Richard Kushi  
Kimberly Nall  
Michael Boyle  
Leticia Torres-Ibarra



# Los Angeles County Chief Executive Office

## Grant Management Statement for Grants \$100,000 or More

**Department:** Mental Health

**Grant Project Title and Description:** Substance Abuse and Mental Health Services Administration (SAMHSA) Federal Block Grant (FBG)

SAMHSA FBG funds are used for the provision of integrated services to adults and older adults with a serious mental illness and children with serious emotional disturbance.

**Funding Agency**  
California Department of  
Mental Health

**Program (Fed. Grant #/State Bill or Code #)**  
SAMHSA Letter No.: 10-01

**Grant Acceptance Deadline**

Board notification of Grant acceptance. Deadline not applicable.

**Total Amount of Grant Funding:** \$14,717,166

**County Match:** N/A

**Grant Period: Fiscal Year** 2010-11

**Begin Date:**  
07/01/10

**End Date:** 6/30/11

**Number of Personnel Hired Under This Grant:**

**Full Time:**

**Part Time:** N/A

**Obligations Imposed on the County When the Grant Expires**

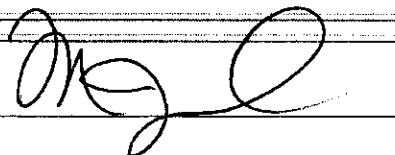
Will all personnel hired for this program be informed this is a grant-funded program?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Will all personnel hired for this program be placed on temporary ("N") items?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
Is the County obligated to continue this program after the grant expires?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
If the County is not obligated to continue this program after the grant expires, the Department will:		
a.) Absorb the program cost without reducing other services	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
b.) Identify other revenue sources (describe below)		
The Department will explore all sources of potential or new funding if there are no existing funds available.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
c.) Eliminate or reduce, as appropriate, positions/program costs funded by the grant.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

**Impact of additional personnel on existing space:**

**Other requirements not mentioned above:**

Department Head Signature: \_\_\_\_\_

Date: \_\_\_\_\_





ENCLOSURE I

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES  
ADMINISTRATION (SAMHSA)  
CENTER FOR MENTAL HEALTH SERVICES (CMHS)  
BLOCK GRANTS FOR COMMUNITY MENTAL HEALTH SERVICES (MHBG)  
SFY 2010-11 PLANNING ESTIMATE

COUNTY: LOS ANGELES

ISSUE DATE: June 21, 2010


PROPOSED ALLOCATION      \$ 14,717,166

Base Allocation	\$11,522,259
Dual Diagnosis Set-Aside	\$ 1,162,873
Children's System of Care	\$ 1,012,034
ISA Funding	\$ 1,000,000
COJAC Support Funds	\$ 20,000

The County Department of Mental Health requests continuation of the SAMHSA, CMHS Block Grants for Community Mental Health Services (MHBG). These funds will be used in accordance with Public Law 102-321 (42 U.S.C., Sections 300x through 300x-13), as it read on July 10, 1992, and amended by Public Law (PL) 106-310, and will be used as stated in the enclosed Community Mental Health Services Block Grant Funding Agreements with Federal Requirements on Use of Allotments, and the Certification Statements.

The amount is the proposed total expenditure level for SFY 2010-11. The amount identified above is subject to change based on the level of appropriation approved in the State Budget Act of 2010. In addition, this amount is subject to adjustments for a net reimbursable amount to the county. The adjustments include, but are not limited to, Gramm-Rudmann-Hollings (Federal Deficit Reduction Act) reductions, prior year audit recoveries, federal legislative mandates applicable to categorical funding, augmentations, etc. The net amount reimbursable will be reflected in reimbursable payments as the specific dollar amounts of adjustments become known for each county.

The county should use this amount for planning purposes and to build the county's SFY 2010-11 base budget for MHBG funded mental health programs.

  
\_\_\_\_\_  
County Mental Health Director

\_\_\_\_\_  
Date



**COMMUNITY MENTAL HEALTH SERVICES BLOCK GRANT FUNDING  
AGREEMENTS**

Public Law 106-310 (Children's Health Act of 2000)  
Public Law 102-321; Title II-Block Grants to States Regarding  
Mental Health & Substance Abuse

Part B of Title XIX of the Public Health Service Act [42 U.S.C. 300x-1 et seq.]

**The county, as recipient of grant funds, acknowledges and agrees that the county and its subcontractors shall provide services in accordance with all applicable federal and State statutes and regulations including the following:**

**Section 1911**

Subject to Section 1916, the State/County involved will expend the grant only for the purpose of:

- (1) Carrying out the plan submitted under Section 1912(a) [State Plan for Comprehensive Community Mental Health Services] by the State for the fiscal year involved;
- (2) Evaluating programs and services carried out under the plan; and
- (3) Planning, administration, and educational activities related to providing services under the plan.

**Section 1912**

(c)(1) & (2) [As a funding agreement for a grant under Section 1911 of this title the Secretary establishes and disseminates definitions for the terms "adult with a serious mental illness" and "children with a serious emotional disturbance" and the State/County will utilize such methods [standardized methods, established by the Secretary] in making estimates [of the incidence and prevalence in the County of serious mental illness among adults and serious emotional disturbance among children].

**Section 1913**

(a)(1)(C) the County will expend for such system [of integrated services described in Section 1912(b)(3)] not less than an amount equal to the amount expended by the County for fiscal year 1994.

[A system of integrated social services, educational services, juvenile services, and substance abuse services that, together with health and mental health services, will be provided in order for such children to receive care appropriate for their multiple needs (which includes services provided under the Individuals with Disabilities Education Act)].

(b)(1) The County will provide services under the plan only through appropriate qualified community programs (which may include community mental health centers, child mental health programs, psychosocial rehabilitation programs, mental

health peer-support programs, and mental health primary consumer-directed programs).

(b)(2) The State agrees that services under the plan will be provided through community mental health centers only if the centers meet the criteria specified in subsection (c).

(c)(1) With respect to mental health services, the centers provide services as follows:

- (A) Services principally to individuals residing in a defined geographic area (hereafter in the subsection referred to as a "service area").
  - (B) Outpatient services, including specialized outpatient services for children, the elderly, individuals with a serious mental illness, and residents of the service areas of the centers who have been discharged from inpatient treatment at a mental health facility.
  - (C) 24-hour-a-day emergency care services.
  - (D) Day treatment or other partial hospitalization services, or psychosocial rehabilitation services.
  - (E) Screening for patients being considered for admission to state mental health facilities to determine the appropriateness of such admission.
- (2) The mental health services of the centers are provided, within the limits of the capacities of the centers, to any individual residing or employed within the service area of the center regardless of ability to pay for such services.
- (3) The mental health services of the centers are available and accessible promptly, as appropriate and in a manner which preserves human dignity and assures continuity and high quality care.

#### Section 1916

(a) The County involved will not expend the grant--

- (1) to provide inpatient services;
- (2) to make cash payments to intended recipients of health services;
- (3) to purchase or improve land, purchase, construct, or permanently improve (other than minor remodeling) any building or other facility, or purchase major medical equipment;
- (4) to satisfy any requirement for the expenditure of non-federal funds as a condition for the receipt of federal funds; or
- (5) to provide financial assistance to any entity other than a public or nonprofit private entity.

(b) The County involved will not expend more than ten percent of the grant for administrative expenses with respect to the grant.

**Section 1946 PROHIBITIONS REGARDING RECEIPT OF FUNDS**

**(a) Establishment -**

- (1) **Certain false statements and representation -** A person shall not knowingly and willfully make or cause to be made any false statement or representation of a material fact in connection with the furnishing of items or services for which payments may be made by a State from a grant made to the State under Section 1911 or 1921.
- (2) **Concealing or failing to disclose certain events -** A person with knowledge of the occurrence of any event affecting the initial or continued right of the person to receive any payments from a grant made to a State under Section 1911 or 1921 shall not conceal or fail to disclose any such event with an intent fraudulently to secure such payment either in a greater amount than is due or when no such amount is due.

- (b) **Criminal Penalty for Violation of Prohibition -** Any person who violates any prohibition established in subsection (a) shall for each violation be fined in accordance with Title 18, United States Code, or imprisoned for not more than five years, or both.

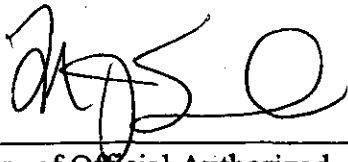
**Section 1947 NONDISCRIMINATION**

**(a) In General -**

- (1) **Rule of construction regarding certain civil rights laws -** For the purpose of applying the prohibitions against discrimination on the basis of age under the Age Discrimination Act of 1975, on the basis of handicap under Section 504 of the Rehabilitation Act of 1973, on the basis of sex under Title IX of the Education Amendments of 1972, or on the basis of race, color, or national origin under Title VI of the Civil Rights Act of 1964, programs and activities funded in whole or in part with funds made available under Section 1911 or 1921 shall be considered to be programs and activities receiving federal financial assistance.
- (2) **Prohibition -** No person shall on the grounds of sex (including, in the case of a woman, on the grounds that the woman is pregnant), or on the grounds of religion, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity funded in whole or in part with funds made available under Section 1911 or 1921.

## (b) Enforcement -

- (1) Referrals to Attorney General after notice: Whenever the Secretary finds that a state, or an entity that has received a payment pursuant to Section 1911 or 1921, has failed to comply with a provision of law referred to in subsection (a)(1), with subsection (a)(2), or with and applicable regulation (including one prescribed to carry out subsection (a)(2), the Secretary shall notify the Chief Executive Officer of the state and shall request the Chief Executive Officer to secure compliance. If within a reasonable period of time, not to exceed 60 days, the Chief Executive Officer fails or refuses to secure compliance, the Secretary may--
- (1)(A) refer the matter to the Attorney General with a recommendation that an appropriate civil action be instituted;
- (1)(B) exercise the powers and functions provided by the Age Discrimination Act of 1975, Section 504 of the Rehabilitation Act of 1973, Title IX of the Education Amendment of 1972, or Title VI of the Civil Rights Act of 1964, as may be applicable; or
- (1)(C) take such other actions as may be authorized by law.
- (2) Authority of Attorney General - When a matter is referred to the Attorney General pursuant to paragraph (1)(A), or whenever the Attorney General has reason to believe that a State or an entity is engaged in a pattern or practice in violation of a provision of law referred to in subsection (a)(1) or in violation of subsection (a)(2), the Attorney General may bring a civil action in any appropriate district court of the United States for such relief as may be appropriate, including injunctive relief.



Signature of Official Authorized  
to Sign Application

Date

CERTIFICATION REGARDING DEBARMENT, SUSPENSION INELIGIBILITY  
AND VOLUNTARY EXCLUSION - LOWER TIER COVERED TRANSACTIONS

- 1) The prospective lower tier participant certified, by submission of this proposal, that neither it nor its principals or **contracted providers** is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal/application.

CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated or maintained with such federal funds. The law does not apply to children's services provided in private residences; portions of facilities used for inpatient drug or alcohol treatment; service providers whose sole source of applicable Federal funds is Medicare or Medicaid; or facilities where WIC coupons are redeemed. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing this certification, the offer or contractor (for acquisitions) or applicant/grantee (for grants) certifies that the submitting organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The submitting organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children's services and that all subrecipients shall certify accordingly.



Signature of Official Authorized  
to Sign Application

Date

## CERTIFICATIONS

### CERTIFICATION REGARDING LOBBYING

- 1) No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- 2) If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

### SALARY CAP

The undersigned certifies that no grant funds will be used to pay an individual salary at a rate in excess of \$199,700 per year, not including benefits.

### DRUG FREE WORK ENVIRONMENT

The undersigned certifies that reasonable efforts are made to maintain a drug-free work place in all programs supported by the Block Grant funds.